Close Schools. Open Minds.

Why We Need Educology for Improving Education Systems

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Abstract

Educology is ‘knowledge of education’. Since knowledge is ‘recorded signs of knowing’ and education is ‘intended and guided learning’, educology is therefore ‘recorded signs of knowing about intended and guided learning’. Knowledge of education systems is also part of educology. Distinctions are made in educology among terms that include education, education system, learning, knowing, signs, and knowledge. Precisely defined terms are required for educology to advance, much as has been done in disciplines such as physics, biology, physiology, and anatomy. If we are to improve education systems, then we must create worthwhile education. Worthwhile education is defined in educology as ‘education that is both instrumentally good and intrinsically good’.

Note that italics font is specifically used in this chapter to identify terms that are defined precisely in educology. Formal definitions of terms in educology are enclosed in single quotation marks. Appendix A includes a list of basic terms and their definitions.

Key words

Educology, knowledge, education, education system, learning, knowing, signs, theory, universals, effective education, worthwhile education.

1. Introduction

Close schools. Open minds. Am I serious?
Yes. But not the way you might initially think.
Does that mean we shut the doors to our schools and lock them? Does that mean we tear down our school buildings?
No. What it means is that schools as we typically think of them are not synonymous with education. In fact, many schools today are not educating. That is why we need educology—not only to understand the difference between schooling and educating, but also to open our minds about alternative and better ways of educating.

In educology, an education system is defined as ‘an intentional system consisting of at least one teacher and one student in a context’. To be an education system:

• it does not have to be a formal school or a university system, which is just one way of organizing education, with a superintendent or president as leaders;
• teachers do not need to be licensed and formally educated adults;
Why We Need Educology for Improving Education Systems

- students are not restricted to those in school or universities, nor only to young persons;
- the context does not need to be a school building or a campus;
- the content does not need to be subject matter typically taught in schools and universities—e.g., math, science, history, biology, music, etc.

The requirements for an education system are that:
- it is an intentional system (trying to guide student learning);
- one or more teachers is doing the guiding;
- one or more students is trying to learn; and
- guidance of learning occurs in a context (i.e., setting which also includes content for learning).

An example of an early education system was Socrates teaching his followers in Greece, as described in The Republic by Plato.
- Socrates did the overall leading (e.g., towards the goal of thinking critically about philosophy, and questioning Sophist values);
- Socrates also did the guiding of student learning (e.g., through provocative statements and scenarios, by asking probing questions—later known as Socratic Method of Teaching);
- his band of adult followers were the students;
- the context was places in the city of Athens; and
- the content was topics in philosophy (e.g., theory of universals, What is justice?, What is the ideal city-state? Why should philosopher kings govern?).

(https://educology.indiana.edu/educationSystem.html)

2. What is Educology?

Educology is ‘knowledge of education’. Sounds almost self-evident but is profound—if we are precise about what is knowledge and education. The difference between schooling and education is but one example of how educology can make clearer what we are talking about when we lament problems we see in our schools, and what to do about these problems.

I am using italics to identify terms in this chapter that are defined more precisely than common usage would typically indicate. I have created a growing and evolving website which provides a glossary of proper terms, definitions, and examples of educology at: http://educology.indiana.edu. The intent of this Educology Website is similar to Stedman’s Medical Dictionary (Stedman, 2006) and Terminologia Anatomica (FCAT, 1998) which define terms used in medical science, anatomy, and physiology.

Without educology, educators and others will continue to talk past each other, much as in the biblical story about the Tower of Babel. The language we typically use in talking about education is imprecise, and so we literally often do not understand what each of us is talking about—because the same words refer to different things. For example, you are talking about a student, meaning she or he is a young person who attends a school or university, and I am thinking about a student as being a person who intends to learn under the guidance of another—she or he does not have to be in school or college, nor young, nor
inside a building, nor guided by a state-licensed teacher or a college professor. We may both use the same words, student, teacher, and learning, but we mean different things. Unfortunately, we may think we are talking about the same thing, when in fact we are not. This kind of miscommunication and inconsistent use of terminology hinders advancement of knowledge in the field of education. You do a research study on student learning and find one result. I do a study on student learning and find a different result. Whose results should we believe? But we may not even be talking about comparable students or comparable learning. Learning is yet another term that needs clarification.

It is as if you are studying cooked oatmeal with added sugar (sucrose) and I am studying cornflakes with added high fructose syrup. But we both call them sweetened cereals. This clarification is important because fructose is metabolized differently than glucose in the human body. Fructose is effectively a chronic toxin that when metabolized rapidly in significant amounts repeatedly over time can lead to diseases that include Type 2 diabetes, atherosclerosis, and cancers. See for example: Lustig (2009), McKinley, O’Loughlin and Bidle (2016), and Taubes (2016).

It is as if in physics mass and energy meant different things to different people—a wide range of misconceptions. For example, some people think of mass being associated with how big something is and how much it weighs. Not so, as it turns out, in the field of physics. Mass is different from weight. An astronaut living in the space station that orbits the earth has zero weight and appears to just float in the air. Yet the same astronaut standing on a scale in the doctor’s office on earth weighs 130 pounds, or about 59 kilograms. Her mass has not appreciably changed. Just orbit the earth at about 17,600 miles per hour, and we will weigh nothing. And there are some new terms: pounds, kilograms, miles, hours and the implied concepts of velocity, force, acceleration, and gravity.

3. Overview of Following Sections

Section 4 discusses the need for systems theory and education theory in educology. Section 5 defines and illustrates foundational terms: universals, signs, and theory. In Section 6, education is defined and described in detail, where kinds of learning are explicated through logic and a Venn Diagram. Education is but one kind of learning. Various kinds of education are further explicated, in particular, worthwhile education. Section 7 reviews relevant literature that is consistent with educology, including evidence from neuroscience and an evolutionary, cultural perspective. Section 8 discusses knowledge and signs. Education system, as a formal term in educology, is further explicated in Section 9, followed by an overview of kinds of knowing in Section 10. The importance of worthwhile education is emphasized in Section 11. In particular, the question of “What kinds of student knowing are worthwhile?” is discussed in detail, including examples that illustrate worthwhile kinds of student knowing. Section 12 concludes this chapter, where trial-and-error approaches to improve education systems are criticized. Finally, the rationale for educology and precise terminology for developing and testing education theory is summarized.
4. Need for Systems Theory and Education Theory in Educology

Ludwig von Bertalanffy (1950a, b; 1972) was a biologist who is well-known for his initial development of general system theory (GST). GST was intended as an organizational framework or paradigm applicable to many scientific fields, what von Bertalanffy originally considered a unifying science. Thompson (2006a) briefly discussed the history of GST through the 20th century, including the significant contribution of the SIGGS Theory Model (Maccia and Maccia, 1966) as a means for retroduction of a theory of education. SIGGS refers to the integration of four theories: set, information, di-graph, and general system theories. Predicate calculus was used to logically define approximately 80 terms from several primitive (undefined) terms. Thompson (2006b; 2008; 2019) further refined these and other terms in Axiomatic Theories of Intentional Systems (ATIS).

Underlying these efforts is the fundamental goal of logical precision in use of terminology which describes general system, education, and education system. For example, system/negasytem flows were identified such as feedin, feedout, feedback, and feedthrough, and system structural properties were denoted such as complexity, strongness, flexibleness, and hierarchical orderness. Further properties included system openness, adaptiveness, compatibleness, stressness and equifinalness. Note that terms defined specifically in ATIS are italicized and underlined in this chapter. For further details, see the SIGGS website at: https://www.indiana.edu/~tedfrick/siggs.html and the ATIS Glossary: http://www.indiana.edu/~aptac/glossary/.

Universals, theoretical signs, and definitions of terms are fundamental for theory development—including general systems theories, education theories and educology itself.

5. Universals and Theoretical Signs

When developing theory, it is important that our terminology consists of universal classes (Steiner, 1988). A universal sign is defined as a ‘symbol whose object is a universal class not limited to time or place’ (see Appendix A and http://educology.indiana.edu/universalSSign.html).

For example, when we refer to adipose tissue cells in physiology and anatomy, we are signifying a class of cells in homo sapiens in general, not just in Socrates’ body about 2,500 years ago, now, or in humans in 5,000 A.D., whether here on earth or elsewhere. Appendix C provides a detailed example which illustrates the value of well-defined universal signs in physiology and anatomy when discussing methods of decreasing mass of fat (i.e., triglycerides) in adipose tissue cells.

As a non-example, to define student learning achievement as scoring above 80 percent on the ISTEP test in Indiana in 2016 would not be a universal class, rather an individual class.

Signs were defined by Peirce (1932):

A sign, or representamen, is something which stands to somebody for something in some respect or capacity.... every representamen being thus connected with three things, the ground, the object, and the interpretant. (2:228)

Peirce (1932) broadly classified signs as iconic, indexical, and symbolic. Signs are discussed in more detail in Section 8.
How do we arrive at definitions of universal classes? Steiner (1988) referred to Husserl's (1965) phenomenological method:

Rule 1. Focus on the object.
Rule 2. Exclude the subjective.
Rule 3. Exclude indirect knowledge.
Rule 4. Exclude existence and the contingent.
Rule 5. Strive for complete disclosure.
Rule 6. Be analytic. (p. 96)

Steiner (1988) used the phenomenological method to derive the definition of education. For example, by use of Rule 4 above, schools as they exist in the U.S. in the 20th century are eliminated from the definition of the universal class, education. Steiner (1988) further stated:

... one does not appeal to empirical observation nor does one simply regard a characteristic as essential. Instead with each characteristic, one asks whether without it the example could be considered an example of the same sort of thing as before. One asks what characteristics an object must have in order to be recognized as an example of a certain kind of object. To illustrate, in my phenomenological inquiry into education, I asked whether a process could be education without having an active learner and teacher. (p. 97, italics added)

6. What is Education?

Education is conducive learning, which stands in contrast to compelled learning, discovery learning, and accidental learning. Conducive learning is 'guided learning and intended learning', which meets Steiner's (1988) criteria for what constitutes the universal class, education. These essential relationships are further illustrated next and by Venn diagrams on the Educology Website: http://educology.indiana.edu and in Figures 1.1 to 1.13 below. Figure 1 illustrates relationships among important concepts, in order to separate education from all learning:
Why We Need Educology for Improving Education Systems – 6

Key concepts from which definitions of types of learning are derived from this Venn diagram are further illustrated by specific shadings in Venn diagrams in Figures 1.1 – 1.13:

Figure 1.1. Accidental learning: neither intended learning nor guided learning (Type 1)

Figure 1.2. Guided learning (Type 2)
Why We Need Educology for Improving Education Systems

**Figure 1.3.** Intended learning (Type 3)

**Figure 1.4.** Conducive learning (education): Intended learning and guided learning (Type 4)

**Figure 1.5.** Ineffective education: neither instrumentally good nor intrinsically good (Type 5)

**Figure 1.6.** Effective education: instrumentally good (Type 6)
Why We Need Educology for Improving Education Systems

Figure 1.7. Worthwhile education: instrumentally good and intrinsically good (Type 7)

Figure 1.8. Discovery learning: intended learning but not guided learning (Type 8)

Figure 1.9. Disciplined inquiry (research): discovery learning that is regulated by criteria (Type 9)

Figure 1.10. Compelled learning: guided learning but not intended learning (Type 10)
Figure 1.11. Induced learning: guided learning but initially not intended learning (Type 11)

Figure 1.12. Effective bad education: instrumentally good but not intrinsically good (Type 12)

Figure 1.13. All learning: accidental learning or discovery learning or conducive learning or compelled learning.

What is important to note is that these terms are well-defined. In particular, educology is defined as ‘recorded signs of knowing about intended learning and guided learning’. Educology is thus knowledge of education.

7. Learning and Intelligence Revisited

Experience, Emotion, and Formation of Mental Structures

When we learn something new, we are able to connect it to what we already know or believe. The desired outcome of successful learning attempts is to form appropriate mental schema. Such mental structures allow us to think and act intelligently as we go through life and carry out complex tasks (see van Merriënboer & Kirschner, 2013). Steiner (1988) defined learning as “psychical development,” which is the “formation of mental
This notion of learning is further consistent with research in neurobiology. On the basis of a series of empirical studies, Kandel (2001) concluded that “... learning results from changes in the strength of synaptic connections between precisely connected cells [neuronal elements]” (p. 1032, italics added). More recent research in neuroscience further supports Kandel’s earlier claims (Eagleman, 2015).

Steiner (1988) further characterized mental structures as conative, cognitive or affective, as did Socrates, who identified will or intent as a part of mind (conative), as distinguished from the intellect (cognitive) and emotion (affective) (see The Republic of Plato, Cornford, 1945). However, Greenspan and Benderly (1997) have noted that since the ancient Greek philosophers, the rational or cognitive aspect of mind has often been viewed as developing separately from emotion. They argue that this view has blinded us to the role of emotion in how we organize what we have learned: “In fact, emotions, not cognitive stimulation, serve as the mind’s primary architect” (p. 1). They identify the importance of emotion during human experience: “... each sensation ... also gives rise to an affect or emotion.... It is this dual coding of experience that is the key to understanding how emotions organize intellectual capacities ...” (p. 18).

Goleman (2011) articulates a somewhat different view, which he calls emotional intelligence. On the basis of neuropsychological brain studies he claims that, “When we have a thought it’s immediately valanced by these brain centers, positive or negative”. Goleman is referring to “... emotional centers in the midbrain, interacting with a specific area in the prefrontal cortex” (location 116). Goleman has been investigating whether emotional intelligence is a distinct human capability, in contrast to verbal or mathematical intelligence.

Rather than viewing emotional intelligence as a distinct human capability, Greenspan and Shanker (2004) have concluded that emotion is the architect of the mind. They considered emotion as central to how we organize our thinking. From the perspective of molecular biology, long-term memory is formed through synthesis of new proteins that alter synaptic strength among neuronal connections, resulting in structural change of the nervous system. “While the organism’s developmental program assures that the connections between the cells are invariant, it does not specify their precise strength. Rather, experience alters the strength and effectiveness of these pre-existing chemical connections” (Kandel, 2001, p. 1032).

If emotion is indeed the architect of mental structures, as mounting evidence appears to support (see Greenspan & Shanker, 2004), then it follows that many students are likely to be developing ill-formed mental schema for the subject matter they are expected to learn in school—mental structures which are weakened or disconnected from existing mental structures due to feelings of meaninglessness, irrelevance, boredom and even disdain with respect to the content of their education (see Yazzie-Mintz, 2007). Ideally, students should instead be developing mental structures that are strengthened through real purpose in life and positive emotion. If so, then those positive feelings and the relevant purpose of learning activities will facilitate organization of mental structures that constitute long-term memory. Metaphorically speaking, once we have a solidly built house on a good foundation, then it is easier to add or remodel a room. We build on what is already there in terms of the existing structure.
To focus only on student cognitive development at the expense of emotion will result in weaker or disconnected mental schema. Such schema will lack wholeness and hence would be poorly integrated into existing mental structures, much like an uninvited guest at a party who stands in the corner of the room and does not interact with other invited guests. If students do learn (i.e., cognitive achievement), but they are indifferent or have negative feelings about the learning experience, then such schema would be more vulnerable to forgetting due to lack of integration. In other words, such student mental structures are likely to be vulnerable—much as the proverbial house which is built on a poor foundation.

Dewey (1916) was very clear about the relation between the will and intellect:

Thinking, in other words, is the intentional endeavor to discover specific connections between something we do and the consequences which result... (p. 145)

Experience as trying involves change, but change is meaningless transition unless it is consciously connected with the return wave of consequences which flow from it. When an activity is continued into the undergoing of consequences, when the change made by action is reflected back into the change made in us, the mere flux is loaded with significance. We learn something. (p. 139)

Experience is primarily an active-passive affair; it is not primarily cognitive. But ... the measure of the value of the experience lies in the perception of relationships or continuities to which it leads up. It includes cognition in the degree in which it is cumulative or amounts to something, or has meaning. (p. 140)

Although Dewey did not explicitly realize the role of emotion in the creation of mental structures, he was aware of the lack of integration of real purpose in learning in school and what is expected to be learned. He argued that purposeful experience was important in order to make student learning more meaningful. Even in his time, over a century ago, many students were bored with what appeared to be meaningless subject matter—as are many students in school today.

Intention (will) leads a learner to act—to try to do something. Actions result in experience—sensations that give rise to emotion and thinking. According to Greenspan and Benderly's theory, it is the dual coding of emotion and sensation during learner experience that results in changed mental structures. Dewey also knew that the will was important, as well as emotion, in meaningful learning. Dewey apparently did not recognize just how important emotion is in organizing our memory through formation of mental structures.

In summary, learning is the formation of new or modified mental structures that are connected to existing mental structure. A person's intention and emotion organize that mental structure. Metaphorically speaking, this affect creates the roads and streets that organize the layout of a growing city, which in turn determines where new buildings can be located. Those specific roadways that are constructed regulate how people can get from one place to another within the city, much as mental structures allow us to remember and to think. See http://educology.indiana.edu/learning.html.
**The Biological Basis of Memory as Connected Structure**

There is a biological basis for mental structures as they are encoded through neural connections in the nervous system (Kandel, 1989; 2001; Squire & Kandel, 1999). The change of mind associated with meaningful learning through experience that Dewey asserted is not just a figure of speech. Kandel (1989), a Nobel-prize winning neuroscientist, concludes from empirical evidence that:

*Learning* produces changes in neuronal architecture (p. 103). ... Whereas short-term memory does not require the synthesis of new proteins ... the consolidation of long-term memory ... does require new protein synthesis (p. 109). ... [T]he long-term process differs from the short-term process in two important ways: one, the long-term process requires translation and transcription, and two, the long-term process is associated with growth in synaptic connections. (p. 115) ... Our evidence suggests that *learning* produces enduring changes in the structure and function of synapses... (p. 121, italics added)

Kandel recommends further study on the “... the power of experience in modifying brain function by altering synaptic strength...” (p. 123). Dewey likewise knew the power of experience in affecting our thinking and *learning*, especially in the context of purposeful activity that is meaningful to the learner (Frick, 2019). For further explication, see the Educology Website: [http://educology.indiana.edu/mentalStructure.html](http://educology.indiana.edu/mentalStructure.html).

**Education as the Basis of Cultural Advancement**

Humans can *learn* from experience through trial and error, as well as by accident. But *learning* can be facilitated if guidance from another person is provided when mental structures are formed during the *learning* process. This is the essence of education: intended guided *learning* (Steiner, 1988). One person is trying to *learn* while another is providing guidance of that person’s *learning*.

Such social interaction to guide *learning* by each new generation has been the major means by which human civilization and culture have advanced. While the size of the human brain has actually decreased by about 10 percent in the last 20,000 to 30,000 years (Henneberg, 1998), human culture has advanced significantly—much more rapidly than changes in the human genome. Transmission of culture is the primary function of education, so each new generation can build on what has been learned by previous generations.

For example, *learning* to start a fire by rubbing sticks together was at one time a significant kind of *knowing how* that was very important for survival (e.g., for cooking, for warmth). This *knowing how* was passed from generation to generation through education. This *knowing how* is not in our genes—it is not hereditary. Today, most people do not *know how* to do this, since they have not been taught. On the other hand, in modern times, many of us have learned how to strike a match to start a fire, and how to adjust the thermostat to the furnace in our home to keep warm. Invention of new tools is not in our genes either. While such invention originally was typically trial-and-error *learning*, once a
tool was invented and found to be useful, then education has been the primary means of passing on such knowing how (part of culture) to subsequent generations.

Greenspan and Shanker (2004) explain further that:

... basic biological capacities are a "necessary" but not a "sufficient condition" for an individual learning to construct symbols and to think. That is, our biological potential for learning from experience, which includes our rudimentary capacities to perceive, organize, and respond, is the critical substrate for the capacity to learn. The sufficient condition, however, involves a series of learning steps that are the basis for symbolic thinking. In human beings, however, even the tools of learning must be learned and relearned by each new generation. These include the ability to attend, interact with others, engage in emotional and social signaling, construct complex patterns, organize information symbolically, and use symbols to think. (Kindle locations 83-88, italics added)

Education in the more general sense has been vital to the survival and advancement of the human race. Education is one of humanity's most enduring professions, and it is not just limited to those who are formally prepared as teachers in schools. Parents teach their children. Children teach children, and adults teach other adults and children. As the old African proverb states, "It takes a village to raise a child." Clearly, education is a social endeavor, and has been vital to advancement of human civilization and culture.

8. Knowledge and Signs

Knowledge as Recorded Signs of Knowing

Knowledge is taken to be 'recorded signs of knowing'. Such records are intersubjective, i.e., between persons, and they are preserved in some medium over a period of time. Steiner (1988) argues that:

First, knowing should be distinguished from knowledge. Knowing is a psychical state in which one has certitude about something and has a right to that certitude.... Knowledge, however, is recorded knowing; it is the body of expressed certitudes. (p. 5, italics added)

Recorded signs of knowing can be preserved in a variety of media. At one time, cave paintings, stone and clay tablets, and papyrus were used. Nowadays, in addition to printed paper and books we have video and audio recordings, photographs, animations, and computerized games and simulations. We also have electronic storage devices to store records such as hard drives, flash memory, and the "Cloud"—remote storage on devices which can be accessed over computer networks such as the Internet.

The record of knowing consists of signs. The signs are not the object of what is known, but rather the signs represent what is known. Charles Sanders Peirce spent much of his life attempting to develop a theory of signs (see Short, 2007). Peirce's theory evolved over his lifetime, which he never finished to his satisfaction. Peirce (1932) defined sign as follows:
A *sign*, or *representamen*, is something which stands to somebody for something in some respect or capacity.... every representamen being thus connected with three things, the ground, the object, and the interpretant (2:228).... The *Sign* can only represent the Object and tell about it. It cannot furnish acquaintance with or recognition of that Object; for that is what is meant in this volume by the Object of a *Sign*; namely, that with which it presupposes an acquaintance in order to convey some further information concerning it (2:231).

In discussing mind and nature, Bateson (1979) makes the same observation: “The map is not the territory, and the name is not the thing named” (p. 30). Bateson’s territory and thing are Peirce’s objects, and the map and name are Peirce’s *signs*. Bateson’s map is a *sign* whose object is the territory being represented. The map is what Peirce refers to as an index in the sense that it is affected by an existing object—the actual territory that is represented by the map. While this distinction may seem obvious, it is important to keep it in mind: a *sign* is not the actual object itself but represents the object. A satellite photograph of the territory would also be an *indexical sign*.

Also noteworthy is Peirce’s comment that the *sign* “cannot furnish acquaintance with or recognition of that Object.” Given the discussion below about *knowing that one*, it appears that direct apprehension of the object would appear to be an important condition to consider when discussing the meaning of *signs* in communication. Otherwise, we might say that people do not really *know* what they are talking about, if they lack *acquaintance* or *recognition*—two kinds of *knowing that one*.

**Iconic Sign-Object Relation**

According to Peirce (1932):

An *Icon* is a *sign* which refers to the Object that it denotes merely by virtue of characters of its own, and which it possesses, just the same, whether any such Object actually exists or not (2:247).... That is, a quality that it has *qua* thing renders it fit to be a representamen. (2:276)

An illustration of a screened porch project in 1993 will hopefully clarify Peirce’s *sign*-object relationships. Prior to building the screened porch that is represented by the photograph in Figure 3, Ted Frick imagined it in his mind, similar to the sketch of one of the panels in Figure 2. The actual screened porch did not exist yet prior to the summer of 1993, but the *idea* of it did in Ted’s mind. The *object* was a mental image of a possible screen porch that Ted was thinking of building. He then drew some sketches for the design of the porch. These *sketches* were *signs* representing the object as imagined. In this case the object of the *sign* was a mental idea. These sketches representing the object were *iconic signs* because they were a likeness that resembled the object (the idea) by virtue of their qualities.
Furthermore, Ted had previously noticed that most existing screen porches had structural elements that blocked people’s view through the screen when they sat inside the porch. This gave rise to the image of a screened picture window in his design idea—through which one’s view would not be obstructed by a structural element. This design feature was present in his sketches and also implemented when the porch was built.

Icons can also be representations of existing things, persons or phenomena. For example, political cartoons such as those in the Doonesbury series by Gary Trudeau, sometimes represent real people such as Presidents George W. Bush or Barack Obama, as well as fictional characters.
Indexical Sign-Object Relation

On the other hand, Peirce (1932) distinguished between an *iconic* and *indexical* relation between a *sign* and its object:

An *Index* is a *sign* which refers to the Object that it denotes by virtue of being **really affected by that Object**.... In so far as the *Index* is affected by the Object, it necessarily has some Quality in common with the Object, and it is in respect to these that it refers to
the Object (2:248, bolding added). A genuine Index and its Object must be existent individuals (whether things or facts), and its immediate Interpretant must be of the same character. But since every individual must have characters, it follows that a genuine Index may contain ... an Icon as a constituent part of it (2:283, bolding added).

To be clear about this, consider the illustration in Figure 3. There are three unique objects—two of which are persons and the screened porch—and four signs. There are relations between these objects and signs. Sign 1 is an index, since the photograph (the sign) is clearly “affected by the object” and it has “some quality in common with the Object” (the screened-porch-that-Ted-built-in-1993). An index need not be restricted to an image. Sign 2 also functions as an index, consisting of words describing the object (Ted’s screened porch, January 2010.). Likewise, Signs 3 and 4 are used to index unique, existent individuals (Theodora and Miguel, respectively).

Furthermore, Theodora recognizes Miguel, and Miguel recognizes Theodora, represented in Figure 3 by a solid blue line with arrowheads on each end. Theodora recognizes the screened porch, and so does Miguel. As interpreants, Miguel knows that Sign 3 is connected with the unique person, Theodora; and Theodora knows that Sign 4 is connected with the unique person, Miguel; and Theodora knows that Sign 1 represents the unique Object 1, the screened-porch-built-by-Ted-in-1993.

However, the screened porch does not recognize either Miguel or Theodora, since the screened porch is not a person with a mind and is not self-aware or conscious as are Miguel and Theodora. Both Theodora and Miguel have had direct experiences with the unique object 1, which is the basis of their recognition. Their recognition is grounded. Their relationship with the screened porch has been immediate in their experience at some time previously. The screened porch depicted in Figure 3 and its signs are shared between subjects (the persons Theodora and Miguel) and hence are intersubjective.

Obviously, the actual persons, Theodora and Miguel, and the actual screened porch are not in Figure 3 but are represented by unique star-shaped signs to the reader. But let’s leave you, the reader, as another interpretant, out of the picture for now.

On the other hand, you most likely have never physically visited nor directly experienced the unique screened porch that Ted built in 1993. Unless you have been there and have directly experienced it, you do not directly know that-one-screened-porch, although you may now know signs associated with that unique object. Your experience with this screened porch is mediated by signs. Your experience is not immediate. Your experience of that-one-screened-porch-built-by-Ted is not authentic. It is not grounded. You may know that-specific-fact—with respect to remembering the signs that have been associated with their objects by some other interpretant.

**Symbolic Sign-Object Relation**

The third kind of relationship between an object and sign is symbolic. According to Peirce (1932):

A Symbol is a sign which refers to the Object that it denotes by virtue of a law, usually an association of general ideas, which operates to cause the Symbol to be interpreted as referring to that Object. It is thus itself a general type or law, that is, is a Legisign. As
such it acts through a Replica. Not only is it general itself, but the Object to which it refers is of a general nature. Now that which is general has its being in the instances which it will determine. There must, therefore, be existent instances of what the Symbol denotes... (2:249, italics added)

While an index helps to identify the unique object, a symbol is often taken to represent a class of objects (a generality, concept, universal). When we state the proposition that “Miguel is a male,” we are indicating via symbolic signs that he is an instance of the male class. Such a proposition is a specific fact, if it is warranted. If the proposition is unwarranted, then it may be fiction or speculation—i.e., a belief that is not confirmed through the method of science (see Peirce, 1877; Short, 2007). In this case, it is a specific fact. Rational minds can certify that the proposition is warranted, and hence it is a specific fact.

What may cause confusion is that when objects are represented by symbols that are not proper nouns, we are accustomed to use a symbol to instantiate the object as a member of a class. When we use the sign, screened porch, this can represent the class of porches (a generality) or it can be part of a sign that indexes the unique object, such as the screened porch—originally-built-by-Ted-in-1993-at-39°0842'North-86°3352'West—which-existed—until-July-2011.

Signs as symbols are dependent on legisigns that constitute a culture’s natural language. The terms, screened porch or porch enclosed with window screen could be characterized by different symbols from languages other than English, such as ‘porche con ventanas con mosquitero’ in Spanish; ‘βεράντα κλεισμένη με τζαμαρία’ in Greek; or ‘трем ограден со прозорци’ in Macedonian. When the relation between object and sign are symbols, Peirce referred to such signs as legisigns which act through replicas. Clearly, the symbolic signs in Figure 3 could be expressed in different languages, even though the same individual unique objects are represented—e.g., Theodora’s name could have been written in Greek, her native language: Θεοδώρα.

Symbols can also be used to represent generalities. Generalities are not bound by time and place. For example, consider the proposition, “All humans are mortal.” First, what is being represented by the signs that constitute the proposition is not an existent individual who is unique. The object is all the instances of the class—in this case, all human beings who have ever existed or will exist. The proposition further asserts that each and every one of these human beings has died or will die. This idea could also be expressed in many different natural languages. The language in which the proposition is expressed is arbitrary—i.e., the cultural legisigns. The idea represented by the proposition is not arbitrary. Whether the idea is warranted or not is another matter.

Thus, the type of sign should not be conflated with the object it represents. Symbols can also be used as indexes of existent individual objects; and so can icons. The types of signs are not mutually exclusive in that more than one kind can be used to represent any given object.

In summary, knowledge is considered to be recorded signs of knowing. Recorded signs represent what is known by persons. Icons, indexes, and symbols can be used to
represent objects of knowing that one, knowing how and knowing that. Kinds of knowing are discussed below in Sections 10 and 11 and further illustrated in Appendix B.

In addition, signs and their objects are relevant to the content of education. While a person can come to knowing that one without signs, it is difficult to imagine—through education—a way in which that person could come to knowing how without exposure to indexical signs (such as demonstration of the knowing how by another person such as a teacher). Coming to knowing that would be difficult, if not impossible, without use of legisigns as a teacher guides student learning of concepts, relations and criteria.

Thus, knowledge and signs are relevant to educology itself, as well as to content of education.

9. What is an Education System?

- An education system is defined as ‘an intentional system consisting of at least one teacher and one student in a context’ at http://educology.indiana.edu/educationSystem.html.
- Intentional system is defined as a ‘dynamic teleologic system which is defined as a system; such that, there is a leadership subsystem of the system such that the goal-function-process maps the leadership subsystem goals onto the system behavior’ at http://educology.indiana.edu/intentionalSystem.html and further at http://www.indiana.edu/~aptac/glossary/atisIntentionalSystem.pdf.
- A teacher is defined as ‘a person who intends to guide another person’s learning’ at http://educology.indiana.edu/teacher.html.
- A student is defined as ‘a person who intends to learn content with a teacher’ at http://educology.indiana.edu/student.html.
- Context is defined as ‘the system environment of teacher and student that contains content’ at http://educology.indiana.edu/context.html.
- System environment is defined as ‘components available for choice or selection by a system’ at http://educology.indiana.edu/systemEnvironment.html and at http://www.indiana.edu/~aptac/glossary/atisSystemEnvironment.pdf.
- Content is defined as ‘signs of objects and objects selected by a teacher for student learning’ at http://educology.indiana.edu/content.html.
- Learning is defined as ‘the increasing of complexity of mental structures’ at http://educology.indiana.edu/learning.html.
- Complexity is defined as ‘the number of connections’ at http://educology.indiana.edu/complexity.html and at http://www.indiana.edu/~aptac/glossary/atisComplexness.pdf.
- Mental structures are defined as ‘affect-relations which constitute intelligence’ at http://educology.indiana.edu/mentalStructure.html and http://www.indiana.edu/~aptac/glossary/atisAffectRelationFamily.pdf. (See also Appendix A.)

Note that the definitions here are similar to Steiner’s (1988) notion of education as a “system consisting of subsystems of teacher (T), student (S), content (C), and context (X)” (p.
40, italics added). However, I am making a distinction between *education* and *education system* as described above.

Note further that *context* includes *content*. *Context* is the *system environment* of an *education system*. Axiomatic Theories of Intentional Systems (ATIS) by Thompson (2006a, b; 2008; 2019) is subsequently utilized for more precise definitions of *system environment*, *intentional system*, and numerous other *systems* properties. ATIS is described by Thompson in more detail in another chapter in this volume. The *context* could be anywhere, and need not be in a school or university, nor do *teachers* and *students* need to be in the same location. The *context* could be digitally interconnected networks in real time (e.g., Zoom, Skype, FaceTime) or mediated through software applications created by *teachers*, instructional designers, and software engineers (e.g., simulations, serious games, tutorials, and quizzes).

Note finally that while italicized terms in *educology* are defined at [http://educology.indiana.edu/glossary.html](http://educology.indiana.edu/glossary.html), terms which are both italicized and underlined here are defined in the ATIS Glossary at [http://www.indiana.edu/~aptac/glossary/](http://www.indiana.edu/~aptac/glossary/).

### 10. Types of Knowing

**Overview of types of knowing**

There are three fundamental types of *knowing*: 1) *knowing that* one, 2) *knowing how*, and 3) *knowing that* (Brown, 1970; Estep, 2006; Geach, 1964; Frick, 1997; Maccia, 1973, 1987, 1988; Scheffler, 1965). Clearly, these three classifications are not exclusive in the sense that two or more of them can occur at the same time within an individual. For example, in Figure 4, the person *knows* his dog as an instance of the dog classification, how to give Rover a bath, and as that-one-unique-dog-named-Rover.

![Figure 4. Basic kinds of knowing (drawings by Elizabeth Boling).](image)

On the physiological level, *mental structures* are encoded through neural connections in the nervous system (Kandel, 1989; 2001; Squire & Kandel, 1999; Eagleman, 2015). Steiner (1988) defined *learning* as the “formation of *mental structures*” (p. 40, italics added). Since one’s *knowing* consists of *mental structures* that are not directly observable
by another person, it is necessary to identify indicators or signs of such knowing. We can observe the actions of another person, which indicate what she or he knows. These may be evident from observing this person carry out some task, from examining a product resulting from this person’s activity, and from examining signs this person uses and creates (icons, indices and symbols) during that activity or in that product. Estep (2006) refers to such indicators as:

signs of intelligence ... [which] include the broader realm of three-dimensional patterns of sign-making, sign-exhibiting, and sign-disclosure of dynamic intentional doings.... Three-dimensional signs such as signals and cues, include gestures (as with hands), but also full-body doings such as tasks or other performances.... These sign categories ... span all sensorimotor capacities, including visual, auditory, olfactory, gustatory, and somatosensory categories (including touching, moving, and proprioception). (pp. 38-39, italics added)

As an example, near the end of physicians’ medical education, they become interns where they practice medicine with actual patients and their maladies. They are supervised and observed by teacher-physicians who are already licensed for practice, and who provide further coaching and feedback to these student-physicians-to-be. These physician-coaches can infer from observation whether or not their student interns are making proper diagnoses, ordering appropriate medical tests, and carrying out appropriate treatments. In short, the test is a method by which a teacher can unambiguously infer student mental structures from observable indicators under appropriate conditions. Tests are not restricted to answering questions or solving problems. Such exams are just one kind of indicator.

In discussing pedagogical epistemology, Maccia (1973) referred to tutorial conditions of knowing:

... knowing is viewed in light of tutorial requirements. Only those knowings to which a teacher has access, which a teacher can bring to a learner, and which a learner can communicate in some way to a teacher are taken seriously. (p. 1, italics added)

There may be other kinds of student knowing to which teachers have no access when observing and communicating with students. For example, Polya (1974) referred to tacit knowing, which essentially meant private, personal knowing not sharable with others as intersubjective signs.

If teachers have no way to tell if students have achieved such unobservable kinds of knowing, then these kinds of knowing are excluded from educology. This is why Maccia referred to tutorial conditions of knowing in further explication his pedagogical epistemology (e.g., Maccia, 1987, 1988). Note that his categories within knowing that one, knowing how, and knowing that were refined between 1973 and 1988.

Kinds of knowing are based on Maccia’s pedagogical epistemology, Estep’s (2003, 2006) evidential arguments about natural intelligence (in particular, knowing how), and Frick’s (1997) discussion of issues in artificial intelligence. Nine kinds of knowing are outlined below:
1. **Knowing that one:** what are indicators of opinion—is it right?
   1.1. **Recognitive:** select the unique $Q$ from not-$Q$ and not-$Q$ from $Q$.
   1.2. **Acquaintive:** identify relations determinate of the unique $Q$.
   1.3. **Appreciative:** identify relations appropriate of the unique $Q$.

2. **Knowing how:** what are indicators of performance—is it effective?
   2.1. **Protocolic:** take one path to goal; inflexible, duplicative doing.
   2.2. **Adaptive:** take alternative paths to goal, choosing or combining paths based on specific conditions.
   2.3. **Creative:** innovate or invent a new way to reach an existing or new goal.

3. **Knowing that:** what are indicators of belief—is it warranted by disciplined inquiry?
   3.1. **Instantial:** classification of objects of the same kind.
   3.2. **Relational:** rational explanation of relationships between kinds of objects.
   3.3. **Criterial:** rational judgment of kinds of objects and their relations according to a norm.

Norms for evaluating these kinds of knowing are indicated by the questions following each of the three major types. For knowing that one, right opinion is essential. For knowing how, conduct must be effective. For knowing that, beliefs must be warranted by disciplined inquiry. Clearly, some opinions are not right, some actions are ineffective, and some beliefs are unwarranted. The task for us here is to sort these out.

Note that within each type of knowing, each higher level requires the lower level. Appreciative knowing requires acquaintive knowing, and acquaintive knowing requires recognitive knowing. Creative knowing how requires adaptive knowing how that, in turn, requires protocolic knowing how. Criterial knowing that requires relational knowing that, and relational knowing that requires instantial knowing that. In other words, within each classification of knowing, the categories are progressively inclusive.

The types of knowing are not mutually exclusive. We can come to knowing that one, knowing how and knowing that with respect to some object. This is illustrated in Figure 4, where the dog Rover, is the object of knowing that one, knowing how, and knowing that.

These kinds of knowing are not necessarily connected. For example, a person's knowing that could be disconnected with his or her knowing how. A person could come to knowing that one without knowing how or knowing that.

For further details on kinds of knowing, see Appendix B. Moreover, recorded signs of knowing about education itself constitute nine kinds of educology. See http://educology.indiana.edu/typesOfEducology.html for further explication.

**11. Worthwhile Education**

Worthwhile education is defined as ‘effective education that is intrinsically good’. Effective education is ‘education that is instrumentally good’. Therefore, worthwhile education is ‘education that is both instrumentally good and intrinsically good’. See http://educology.indiana.edu/worthwhileEducation.html. If we aim to improve education, we should seek worthwhile goals. In other words, what content should students learn?
Content Quality in Education

Not all recorded signs qualify as knowledge. There are many claims made that do not meet the criteria for recorded signs of knowing. People can state opinions that are not supported by fact. People can make false claims—i.e., intentionally lie or deceive. For example, “fake news” has recently become a serious problem on the Internet, especially in social networks such as Facebook and Twitter. Such false claims can misinform and do real harm, such as adversely influence outcomes of elections of public officials in a democratic society.

Clearly, if we are to select the best of culture in education, we ought to help students learn to value knowledge, and we ought to teach students to discriminate among claims that are verifiable knowledge claims compared with those that are not warranted. Students should learn to be clear about what they do not know with certainty, and to suspend belief when claims are uncertain.

Knowing That One Directly

Students should, whenever possible, be directly immersed in their own cultural context itself and come to know its elements without mediation. In other words, a student’s experience should be direct and immediate. Students should come to trust their perceptions, and not be fooled by words of others who are intentionally deceptive or misleading. In other words, students should “see for themselves” in order to recognize, become acquainted and to appreciate what is unique. Students should also learn to discern when another person’s opinion is untrustworthy and should be dismissed.

For example, direct unmediated experience of an event is a requirement for witnesses who testify in a court of law. The legal system normally disallows hearsay evidence in a trial. Hearsay evidence is when one forms their opinion based on someone else’s report of their experience. The court is further concerned about the chain of evidence with respect an artifact that is presented as evidence in a legal trial (to insure in as much as possible that it is authentic—e.g., the weapon used to commit the murder).

Legitimate news organizations normally want facts verified by at least two sources of information, and by reporters or witnesses who have had immediate experience of the unique event. When this kind of fact-checking is not done, it can lead to false reports (e.g., rumors, fake news). The problem is further exacerbated when one news organization relies on reports of another, and this other news organization has their facts wrong. Misinformation is then spread, much like a rumor mill. A large number of people can be misled into holding wrong opinions based on false reports by presumably trustworthy sources (i.e., so-called authorities). People can also believe they have right opinion because many others hold the same opinion, when in fact they are all mistaken. At one time, most people believed that the earth was flat like a table top and at the center of the universe.

In modern schools, where students are largely sequestered inside of buildings for their formal education, direct experience of events in the world is more difficult to arrange. So-called “field trips” are rare because of the expense of transportation and challenges in providing student safety while out in the “real world”. After a while, it is easy to get lullled
into believing that one has right opinions based solely on reports by others, whether they are textbooks, documentary movies or guest teachers.

Moreover, students may not get enough practice in seeing for themselves. The challenge is for students to be able to compare their own direct experiences with what is reported by others via signs (icons, indexes and symbols). Students should learn to discern when those other sources hold wrong opinion by comparing what those sources say to what a student directly knows about that-one. Students ought to learn how to do fact checking, and to gain confidence in dismissing shoddy or deceptive reporting by others. In other words, students ought to become good at detecting other people’s ignorance and wrong opinions about what is unique. They ought to become good at questioning so-called authority. In other words, students should be become connoisseurs of authenticity of what is unique.

This task becomes especially challenging when investigating unique events of the past, where direct experience is no longer possible. This is the same challenge faced by historians. Students can nonetheless investigate reports by others. They should seek primary sources and be able to discern primary from secondary or tertiary sources of information. They should learn to form opinions about unique events based on the coherence and integrity of representations in reports made by others, and to examine motives of those reporting (why they might want to intentionally distort the facts). If coherence and integrity are lacking, students should suspend belief and realize that they just do not know for certain. And a student should always keep in mind that opinions can be wrong, which are formed on the basis of other people’s inaccurate reports, and to realize that such opinions lack the greater certainty that the student could achieve through direct, unmediated, careful observation for himself or herself. In summary, student experience should be authentic in order to form right opinions about unique elements within their own cultural context.

Knowing How

Signs of knowing how should represent worthwhile means to ends. Students should engage in effective and ethical conduct that contributes to the quality of life in society. In other words, students should engage in worthwhile conduct—which is both instrumentally and intrinsically valuable.

In TIE theory, Frick (2018) predicts that student mental structures will be stronger and less vulnerable to forgetting when they engage in authentic learning tasks which will help them integrate knowing how, knowing that one and knowing that with their intentions and emotions. Learning tasks are authentic if they are tasks that people actually do in the social system and culture in which teachers and students live.

Most authentic tasks that adults do in society would be beyond the capability of young learners. For example, we cannot expect a six-year old child to perform arthroscopic surgery. Thus, the challenge for educators is how to sequence such authentic tasks from simple to complex, starting with those which students are capable of learning how-to-do, and building gradually towards those that adults do in the social system.

While educators can identify a set of core tasks that all students should learn how to do, clearly some specialization will take place the further along a student gets in his or her
education. Not everyone needs to learn how to do arthroscopic surgery. As students advance, they will likely want to choose an area of specialization that will meet a need in society. Nonetheless, within specialized disciplines such as medicine, organic chemistry, computer science, anthropology, etc., whole tasks can be identified which are appropriate to those professions or disciplines and which are authentic.

Finally, we should not lose sight of three kinds of knowing how. Students should have numerous opportunities to carry out authentic tasks that are not only protocolic, but also adaptive and creative. It is especially important in education that students should be given repeated opportunities to invent and to be creative. This is a highly important capability for human survival and advancement of civilization and culture. While creative knowing how cannot be taught directly, it can be encouraged and time can be made available for students to experiment, tinker, and invent. Creativity need not be restricted to the fine arts such as painting, drawing, writing poetry, or composing music. Practical arts are important as well. For example, invention of a way to increase crop yields in agriculture by controlling weeds without harmful pesticides and herbicides would benefit many people. As a further example, writing computer software to solve a problem, or inventing a more efficient solar cell, can be very worthwhile. Devising new theory, refining and evaluating it can also be valuable result of creative knowing how.

There have been numerous efforts to improve education systems (see Mourshed, Chijioke & Barber, 2010) and some have been highly successful. However, raising student achievement test scores in reading and mathematics will not in itself lead to a better economy, more jobs, or improvements in the quality of life. While learning these basic skills is important, we also need to prepare students to develop mental structures for creative knowing how. Creative knowing how should not get lost in the curriculum. We need all the help we can get in inventing solutions to real problems we face in the world—solutions which will truly improve the quality of life and will help advance human civilization and culture.

Knowing That

In addition to forming mental structures for right opinions and worthwhile conduct, students should form mental structures for generalizations that are warranted—i.e., we want students to come to hold beliefs that are warranted through disciplined inquiry. This means that students need to learn the symbols associated with classes of objects in order to correctly identify objects as to their type or kind.

Instantial knowing that

For example, in Figure 4, one should be able to classify the four-legged animals as dogs. And the living beings illustrated in Figure 4 are mammals not insects or invertebrates. Instantiation is the most basic kind of knowing that, sometimes referred to as knowing concepts.

In addition to widely used cultural signs that are part of everyday language, certain scholarly disciplines have developed their own classifications and specific vocabulary. For example, what people commonly refer to as water is more precisely defined in chemistry as
molecules of \(H_2O\), where each molecule consists of two atoms of hydrogen and one atom of oxygen.

As civilization progresses, knowledge advances, and the more we know, new concepts are introduced as new theories are developed and tested. Older concepts and theories are rejected or replaced because better ones supersede them. For example, in the mid-nineteenth century, the spread of infectious disease was better understood after the obstetrician, Ignaz Semmelweis, observed that a greater percentage of healthy mothers had died from childbed fever who had been examined during labor by his medical students, when compared with mothers assisted solely by midwives. His students had often come directly from the dissecting room—where they had been working with cadavers of women who had died of the disease. He theorized that his students were somehow carrying the infection with them. After he ordered them to wash their hands in antiseptic solution before examining mothers during labor, the percentage of deaths from childbed fever dropped significantly (see Semmelweis, 2008). As another example, while Newton’s Laws were adequate for explaining force among relatively large masses, they were found to be inadequate for explaining force at the atomic level. New theory was needed and was developed by physicists—e.g., quantum theory and the general theory of relativity.

Relational knowing that

Students should learn relationships that are warranted by disciplined inquiry. Another name for this is theoretical knowing. Students should correctly understand each relation; they should be able to provide an argument that warrants the generalizability of the relation; and they ought to be able to explain the relevance and fruitfulness of the relation (see Maccia, cited in Frick, 1997, Table 1).

For example, humans learned long ago about the notion of gravity, in the sense that objects in high places will fall down unless they are opposed or held in place. Fall down is a relationship between an object and the ground below. This is a predictable relationship. If one is up in a tree and lets go of the branch, then he or she will fall to the earth. This notion of falling down was eventually formalized into a theory of gravity. Isaac Newton identified concepts such as force, mass, velocity, acceleration, time, distance and their relationships.

For example, in classical mechanics momentum is the mass of an object, multiplied by its velocity. Many people previously had learned through experience that if they threw a heavy rock at someone, it would hurt them more than if they threw a small lightweight pebble at the same speed. They also knew from experience that if they threw that same heavy rock at a faster speed, it would often hurt someone more than if thrown at a slower speed. Newton was able to formalize these relationships in his theory of gravity. His theory was also able to predict what would happen when dropping a pebble and a large rock from the same height and explained why both would accelerate at the same rate, reaching the ground at the same time. It could also predict orbits of planets around the sun and the velocity necessary for an object such as the space shuttle to orbit the earth in the vacuum of outer space.

What is further significant is that theories can be tested (see Steiner, 1988). Truth of theoretical propositions can be evaluated. Not all theories are supported by sufficient evidence; and some theories are more adequate than others. Evidence can be brought to
bear for warranting assertions. Scientists and philosophers make different kinds of arguments. The kinds of tests of *theory* that are acceptable are part of the discipline in a domain of inquiry. *Students* who learn *theoretical* propositions should also learn how those *theories* have been tested—in other words, what is the justification of the relationship. Verification and falsification are important concepts in development of *theoretical knowing*—i.e., *knowing* of relationships that are warranted by *disciplined inquiry*.

**Criterial knowing that**

A criterion is a standard or norm by which some class of objects can be judged. A common example is that when checking baggage for a commercial air flight, the criterion is that each suitcase or bag must weigh no more than 50 *pounds* and that the dimensions of each bag must be within specified height, width and depth restrictions. The justification for these standards for maximum weight and dimensions of checked baggage is typically not made explicit, but presumably is determined by tolerances of their conveyor belt *systems*, baggage carts, and airplane cargo space. Also, the airline does not want to harm airline employees by requiring them lift too much weight.

According to Maccia (cited in Frick, 1997, p. 113), the person must not only correctly understand the criterion, but must also make a justificatory argument to warrant the credibility of the criterion and must further be able to “demonstrate the relevancy and fruitfulness” of the criterion.

The general issue with a criterion is that other criteria are used to justify the initial criterion. But how are the further criteria justified? And how are the criteria for those further criteria justified? This could go on *ad infinitum*. One could appeal to an authority as a means of justification, but how do we *know* if the authority has adequate justification? One could cite empirical evidence as a means of justification, but the danger with this method is the naturalistic fallacy. Just because something occurs or exists does not justify that it is worthwhile. For example, it is a fact that murder occurs, but the fact that murder exists does not mean that murder is just.

*Disciplined inquiry* is required to justify a criterion if one is to avoid the *naturalistic fallacy* or appeal to authority. What constitutes adequate justification of beliefs is critical. Peirce (1877) described four methods by which humans fixate beliefs: *tenacity, authority, agreeableness to reason*, and *science* (i.e., *disciplined inquiry*).

In the *method of tenacity*, one literally holds onto his or her belief despite evidence to the contrary or lack of evidence to support it. For example, some people are resolute in their belief that drinking eight glasses of water a day will help them to lose weight. However, it turns out that this belief is myth. There is no scientific evidence in medical research to support this claim (Vreeman & Green, 2007). To remain steadfast in this belief is to use the *method of tenacity*.

When one holds a belief according to what people in a position of power or authority dictate or according to what doctrine says, he or she is using the *method of authority* to fixate belief. For example, to believe that global warming is occurring because former Vice-President Albert Gore said so, is to use the *method of authority*.

The third method Peirce referred to is the *a priori method (agreeableness to reason)—“it does not mean that which agrees with experience, but that which we find*
ourselves inclined to believe” (Peirce, 1877, p. 15). For example, to believe that people with larger brains are more intelligent, without evidence to support this assertion, would be to use the \textit{a priori} method.

When one uses the \textit{method of science}, then \textit{disciplined inquiry} is carried out in such a way that others can use that same method to see if the same conclusions would be reached. For example, in chemistry \textit{molecules} of water are said to each consist of two \textit{atoms} of hydrogen and one \textit{atom} of oxygen \((\text{H}_2\text{O})\). In Euclidean geometry, the claim is made that the sum of the \textit{interior angles} of a triangle is 180 degrees. In medicine, the claim is made that people who smoke heavily are 5-10 times more likely to contract \textit{cancer} later in their lives. In philosophy, Kant (1785) stated the proposition for moral behavior, known as the \textit{categorical imperative}: “Act only according to that maxim whereby you can at the same time will that it should become a \textit{universal law}” (p. 30, italics added). Each of these disciplines provides methods by which such claims can be examined and tested by others. When such tests are carried out, the same conclusions should be reached.

Peirce (1877) referred to science in the broad sense of \textit{knowing that} which is justified by methods that others can try themselves, not science in the narrow sense of biology or physics. “The test of whether I am truly following the method is not an immediate appeal to my feelings or purposes, but, on the contrary itself involves the application of the method” (p. 20).

Steiner (1988) argues that this method of \textit{disciplined inquiry} can be used in justifying criteria. Claims about criteria can be tested. However, such tests do not and should not rely on empirical evidence as do science and praxiology. \textit{Criterial knowing that} relies ultimately on philosophical reasoning. That is, if one is willing to assume initial principles, and one is rational, then the justification of the criterion is a logical consequence of those initial principles. These principles concern what ought to be, not what is. Such principles are a matter of intrinsic value.

Consider for example the act of murder. Murder should not be justified on the basis of empirical evidence. Just because murder occurs does not mean that it is right. If we initially assume that we ought to treat others as we ourselves wish to be treated as a moral principle (the Golden Rule), and if we believe that it is wrong for others to murder us, then we must also conclude that it is wrong for others to be murdered. A person would be irrational to hold the Golden Rule as an initial principle, to hold that it is wrong for someone to murder him or her, and also believe that it would be right for others to be murdered. The person’s beliefs would be contradictory.

In summary, in \textit{knowing that}, a person comes to hold beliefs that are warranted, and she or he can justify his or her beliefs. The justification should include an evidentiary argument that establishes the credibility of the belief and which demonstrates its relevance and fruitfulness.

\textit{Truth} itself is a criterion by which to judge beliefs. Peirce argued that the \textit{method of science} (\textit{disciplined inquiry})—when used repeatedly over time by many individuals—warrants those beliefs:

To satisfy our doubts, therefore, it is necessary that a method should be found by which our beliefs may be determined by nothing human, but by some external permanency —
by something upon which our thinking has no effect. Some mystics imagine that they have such a method in a private inspiration from on high. But that is only a form of the *method of tenacity*, in which the conception of *truth* as something public is not yet developed. Our external permanency would not be external, in our sense, if it was restricted in its influence to one individual. It must be something which affects, or might affect, every man. And, though these affections are necessarily as various as are individual conditions, yet the method must be such that the ultimate conclusion of every man shall be the same. Such is the *method of science*. (Peirce, 1934, 5:384, italics added)

Short (2007) notes that: “Here, science is used narrowly, for a form that inquiry normally takes, and a *method of science* is used broadly, for all the ways in which we might seek to subject belief to impersonal tests” (p. 330).

*Criteria* for knowing that is not restricted to principles for judging human conduct such as the Golden Rule or to rational principles for judging beliefs such as those in *disciplined inquiry*. Criteria are also relevant for judging knowing how. For example, *effectiveness* is a criterion that can be used to judge knowing how: Does the performance result in the desired outcome? Is the performance *instrumentally good*? For instance, if arthroscopic knee surgery is performed, does it work—i.e., does the patient recover the normal use of his or her knee after surgery? Likewise, criteria are relevant for judging knowing that one. For example, *authenticity* is a criterion for *appreciative knowing that one* (see Maccia, 1987).

Finally, criterial knowing that is an important goal of *education* that should not get lost as we attempt to improve our *education systems* (see Mourshed, Chijioke & Barber, 2010). Critical thinking is needed for solving problems we face in the world. To think critically requires that one knows criteria and can justify them. Criteria are needed for choosing worthwhile solutions to problems.

### 12. Conclusion

**Trial-and-Error Approaches to Improving Education Are Risky and Inefficient**

Educators who have been around several decades have seen widely touted changes come and go. In the past four decades, for example, some of the innovations have been referred to as: site-based management, constructivist classrooms, technology integration, school restructuring, systemic change, and re-inventing schools.

Despite such rhetoric, changes that have occurred in U.S. K-12 schools appear to be “tinkering around the edges.” In 2019, for example, there may be more use of computer tablets, Chromebooks, and wi-fi networks in schools, more standardized achievement testing, more accountability for *student learning* achievement, less state funding for public schools, more tax dollars going to private charter schools, and increased regulation of schools by state and federal governments.

But, have any of these changes significantly improved K-12 *education*? While apparently well-intentioned state legislators and state departments of *education* are mandating changes in K-12 *education*, there are no guarantees of improving matters.
Worse, these changes may cause more harm than good. The stakes are very high. The consequences of mistakes can be devastating for our children and our future. The following questions have not been adequately addressed:

- “Change what?”
- “Change how?” and
- “How do you know the change is likely to work?”

We must know what to change in order to know how. Without knowing what to change, the “how” is irrelevant (Frick, Thompson & Koh, 2006). We must know whether the change is likely to accomplish the goal and that the change will not have negative, unintended effects.

For example, attempts to hold teachers accountable for student achievement not under their control may drive the best teachers to leave the profession, due to frustration with such working conditions. It may also discourage potentially good teachers from entering the profession. Moreover, the best students might leave the public schools to attend private charter schools, if their parents can afford it. This would leave public schools in possibly worse straits, with the least capable teachers and lowest achieving students remaining, and less money from public tax dollars to support them. Then what?

**Paradigm Change for Improving Education Requires Sound Knowledge**

Some scholars argue that an entire paradigm change is needed in education. For example, Reigeluth & Karnopp (2013) have promoted a vision and strategies to get there. These include significant curriculum expansion, individualized learner-centered instruction, and attainment-based evaluation of learning—that contrasts with existing time- and age-based structures for moving student groups through lock-step grade levels. As another example, Duffy (2009) is promoting systemic change efforts.

But do we know how well such new paradigms will work? This does not mean that a new education system that is learner-centered and attainment-based is not worthwhile. Nor does it mean that changes to expand and revamp curriculum in school are not needed. It just means that we lack sound knowledge to predict outcomes of new designs of education systems.

**Why Sound Knowledge of Education is Needed**

As an analogy, consider an old bridge that is failing—it is structurally weak and is impeding the flow of traffic. If the bridge is not fixed, it will collapse and vehicles will plunge into the river. When engineers design a new bridge, they utilize adequate scientific theories. No one in modern times would consider designing a new bridge by trial and error. Nor would they let politicians try to do it.

Yet, in education we are essentially proceeding by trial and error in attempts to improve education—whether tinkering around the edges or by creating new paradigms. We lack sound knowledge to make reasonable predictions whether or not the proposed remedies will fix the problems in education we face.
Disciplines Require Precise Language

In disciplines where knowledge has significantly advanced, there has been careful development of terminology so that researchers know what each other is actually talking about. For example, in physics the concepts of atoms and molecules are clearly defined. Each atom has a particular combination subatomic elements called electrons, protons, and zero or more neutrons. For example, in chemistry a molecule of water is comprised of two hydrogen atoms and one oxygen atom. A hydrogen atom consists of one electron and one proton. A stable oxygen atom contains eight each of electrons, protons and neutrons (see “Properties of water,” n.d.).

As another example, it was not that long ago that the field of medicine was not a discipline. There was no medical science, as there now is. At one time, physicians would prescribe bloodletting to treat all kinds of disease, which turned out to be an ineffective practice and has been largely abandoned (“Bloodletting,” n.d.). Many people were harmed by such ignorance.

Medicine advanced, in part, because researchers in the field became more disciplined in their inquiry. Terms are now precisely defined in medicine. Osteoarthritis does not mean whatever people want it to mean. Osteoarthritis is the precisely described medical term for a particular disease. Researchers and practitioners in the field of medicine have agreed on what this term means. Thus, when treatments of this particular disease are investigated, competent medical professionals know what they are talking about. See Appendix C for a further example of the benefit of precisely defined terms for addressing obesity through changes in diet.

The Need for Precise Language in Educology

In the field of education, such precise terminology has not been developed until now. Steiner (1977, 1986, 1988) has long argued that such terminology is sorely needed for the field to advance, and has proposed the term, educology to mean ‘knowledge of education’.

Basic terms of educology have now been defined: learning, knowing, signs, education system, teaching-studenting processes, teaching-studenting structures and many others. The definitions are available to all at the Educology Website at: http://educology.indiana.edu/glossary.html.

A standard vocabulary will lead to advances in educology that, in turn, will help improve education—that is, develop worthwhile education for everyone. One example that has benefited from this standard vocabulary is TIE theory (Frick, 2018). The theory of totally integrated education (TIE) uses terminology and definitions from educology as explicated here. TIE theory predicts that student mental structures will be stronger and less vulnerable to disconnection when learning activities help students to integrate knowing that one, knowing how, and knowing that through authentic tasks (see http://educology.indiana.edu/integratedLearning.html).

The current chapter and the Educology Website serve as a beginning. Concepts from educology allow us to talk, plan, conduct research, and think more constructively and clearly about education systems and change.
Acknowledgements

I am especially indebted to lifetimes of theoretical work by Elizabeth Steiner, George Maccia, Stanley Greenspan, Charles Sanders Peirce, and John Dewey. They must be acknowledged as scholarly giants upon whose shoulders my thinking about educology has been founded. After five decades of research, I have attempted to “put it all together” in this chapter on educology.

13. Appendix A: Defined and Undefined Terms in Educology

Definitions of Basic Terms

In order to explicate theory, it is necessary to define terms. Steiner (1988) states it this way:

... when one sets forth the terms of the theory and their definitions, descriptive metaphysics is presented.... Descriptive metaphysics is a division of the phenomena which are the object of theorizing—the system—so that a set of descriptors characterizing the systems properties emerges. To do this, the metaphysician must provide a set of class terms for characterizing each and every component of the system.... Therefore, classification is basic to descriptive metaphysics.

However, classification always involves definition. A class term denotes all the particulars to which the term is applicable (the extension of the term) and connotes the characteristics that a particular must have in order for the term to be applicable to it (the intension of the term). (Steiner, 1988, p. 64, italics added)

Steiner provides criteria for evaluating descriptive theory: exactness, exclusivity, exhaustiveness, external coherence, extendibility, equivalence, chaining and substitution (pp. 64-74). Descriptive theory is necessary for building a foundation before explanatory theory can be explicated.

Fundamental to educology are the following defined terms (=Df is read as is defined as):

- Mental structures =Df affect-relations which constitute intelligence
- Learning =Df increasing of complexity of a person’s mental structure (for Types 1 – 12)
- Learner =Df person whose volition is learning
- Forgetting =Df decreasing of complexity of a person’s mental structure

These and other terms are defined at http://educology.indiana.edu. This website provides definitions of these terms and more. It is easier to follow the chains of definitions on the website by clicking on the hyperlinks. Words in this chapter which are italicized and underlined are defined elsewhere by Thompson (2006a) and in the ATIS Glossary at http://www.indiana.edu/~aptac/glossary/ (e.g., affect-relations, complexity, system).

I have been discussing mental structure above, and now I must be more precise. I take some definitions here from general system theory, and in particular, Axiomatic Theories of Intentional Systems (Thompson, 2006a, b; 2008; 2019). Affect-relations are the connections among components of a system, and complexity is the number of
connections. Thus, learning is defined as increasing the number of connections in a one’s mental structure. This is consistent with what Kandel (1989) has concluded on a biological level, claiming that long-term memory is “associated with growth in synaptic connections [among neurons]” (p. 115), and that “learning produces enduring changes in structure and function of synapses” (p. 121, italics added). Mental structures can be formed for right and wrong opinions, for effective, ineffective, ethical and unethical conduct, and for true or false beliefs.

The biological explanation of changes in the human nervous system is not part of educology. Educology asserts that humans form mental structures as they learn. To use Steiner’s criterion, there is external coherence. This definition of learning in educology has external coherence with neurobiological knowledge.

Undefined Terms

Some terms in a theory must remain undefined (Steiner, 1988). Definitions could go on ad infinitum if there are no primitive terms. This is to avoid circularity in definitions, as well as infinite regress. Undefined terms follow: intelligence, think, feel, intend, believe, perceive, guide, person, good, object (thing), course of action (conduct), end (goal).

More Definitions of Terms in Educology

The domain of human learning is shown as a Venn diagram in Figure 1, which illustrates defined terms that include intended learning, guided learning, education, effective education and worthwhile education. Figures 1.1 through 1.13 illustrate via shadings in the Venn diagram how these terms are related but yet distinct.

- Accidental learning =Df learning which is neither guided nor intended (see Figure 1.1)
- Discovery learning =Df learning which is intended but unguided (see Figure 1.8)
- Compelled learning =Df learning which is not intended but guided (see Figure 1.10)
- Conducive learning =Df education =Df learning which is both intended and guided (see Figure 1.4)
- Student =Df a person who intends to learn content with a teacher
- Teacher =Df a person who intends to guide another person’s learning
- Teaching =Df a teacher guiding another person’s learning (see Figure 1.2)
- Sign =Df representamen =Df “something which stands to somebody for something in some respect or capacity… every representamen being thus connected with three things, the ground, the object, and the interpretant” (see Peirce, 1932, 2.228)
  o Interpretant =Df a sign derived by a person as a mental construct that is a representamen of the equivalent external sign, which relates to an object
- Content =Df objects and signs of objects selected for student learning
- Context =Df system environment of teacher and student that contains content
• **Education system** =_{Df} intentional system consisting of at least one teacher and one student in a context

• **Knowing** =_{Df} mental structures which consist of warranted beliefs, right opinions, and capabilities for performance (See Figure 4)
  
  - **Knowing that one**: mental structures for right opinion about Q, the object of knowing
    - **Recognitive**: select the unique Q from not-Q and not-Q from Q.
    - **Acquaintive**: identify relations determinate of the unique Q.
    - **Appreciative**: identify relations appropriate of the unique Q.
  
  - **Knowing how**: mental structures for effective performance
    - **Protocolic**: take one path to goal.
    - **Adaptive**: take alternative paths to goal, choosing or combining paths based on specific conditions.
    - **Creative**: innovate or invent a new way to reach an existing or new goal.
  
  - **Knowing that**: mental structures for beliefs warranted by disciplined inquiry
    - **Instantial**: classification of objects of the same kind.
    - **Relational**: rational explanation of relationships between kinds of objects.
    - **Criterial**: rational judgment of kinds of objects and their relations according to a norm.

• **Knowledge** =_{Df} recorded signs of knowing =_{Df} preservation of signs that represent what is known in some medium external to knower

• **Disciplined inquiry** =_{Df} rigorous research =_{Df} learning which is regulated by criteria for creating scientific, praxiological, and philosophical knowledge. (See Figure 1.9.)

• **Instrumentally good** =_{Df} means that are good for an end (goal)
  
  - **Means** =_{Df} course of action, a way to reach an end (goal)

• **Intrinsically good** =_{Df} means or ends that are good in themselves, not with respect to their instrumental goodness

• **Effective education** =_{Df} education that is instrumentally good (Steiner, 1988, pp. 16-17) (See Figure 1.5.)

• **Effective bad education** =_{Df} education that is instrumentally good but not intrinsically good (See Figure 1.12.)

• **Worthwhile education** =_{Df} education that is both instrumentally and intrinsically good (Steiner, 1988, p. 17) (See Figure 1.7.)

• **Totally integrated education** =_{Df} education that results in student completely-connected knowing, intention and emotion. See Frick (2018).
Appendix B: Examples of Kinds of Knowing

Knowing That One

Let us use the symbol $Q$ to represent an object, and a subscript to index each unique object. For example, in Figure 3, let us use $Q_1$ as a further sign for representing the screen-porch-built-by-Ted-in-1993; $Q_2$ represents the unique person Theodora; and $Q_3$ represents the unique person Miguel. How can we tell if someone can recognize $Q_1$, that is, *knows that one* $Q_1$? According to Maccia (1987), the cognition is of “none other.” To recognize $Q_1$, requires that it be discerned from all else. To select $Q_1$ from not-$Q_1$, and to select not-$Q_1$ from $Q_1$, is to recognize $Q_1$.

Recognition knowing that one

Recognition is a fundamental cognitive act. It is required for identification of each unique object. It is the cognitive act that is required of a witness in a court of law who is asked to identify the defendant as the one the witness observed to commit the crime. The witness on the stand is asked by the lawyer to select $Q_i$ from all else, where $Q_i$ is that one unique individual. When the selection is correct or accurate, we say that a person has right opinion.

Plato made this distinction between right opinion and true opinion in *Theaetetus*. According to Maccia (1987):

Right opinion was described [by Plato] as the direct apprehension of things. True opinion was described as conception which was justified by definition or classification. In leading Theaetetus to see that right opinion was not equivalent to true opinion, Socrates had him conclude that it was impossible to distinguish Socrates or Theodorus from any other snub-nosed person by means of definition or classification. He brought Theaetetus to agree that he and Theaetetus would recognize each other when they met next at the Agora. (p. 213, italics added)

True opinion is knowing that whereas right opinion is knowing that one. True opinion requires description whereas recognition does not. Maccia (1986) explained further:

Shared attributes enable comparisons of class membership of things, thereby enabling definitions. Through definitions we come to know that to have true beliefs about the relations of things. We have an explanation.

Characteristic attributes, on the other hand, are incomparable. Such attributes locate the betweenness of things. We come to know that one, not an instance of a kind. One can argue about the adequacy of a definition, but one can only acknowledge a unique. If you know it, you have the right opinion of its identity as an existent. (pp. 5-6, italics added)
Such acknowledgment depends on experience, which is affected by what Peirce (1932) called sinsigns.

A Sinsign (where the syllable sin is taken as meaning "being only once," as in single, simple, Latin semel, etc.) is an actual existent thing or event which is a sign. It can only be so through its qualities... (2:245)

Sinsigns are the basis of experience. Peirce described experience this way (1931):

We perceive objects brought before us; but that which we especially experience—the kind of thing to which the word “experience” is more particularly applied—is an event.... It is the compulsion, the absolute constraint upon us to think otherwise than we have been thinking that constitutes experience. (1:336)

Maccia (1986) further clarified Peirce’s category of “Secondness”, which distinguishes sinsigns from qualisigns:

Such compulsion is termed by Peirce, “Secondness.” Secondness is force by “brute action.” The brute action of secondness results in facts. Brute facts that is. Such facts are .... immediate. They are right now. Brute facts mark identity and existence. They characterize the single one. (p. 6)

Dewey (1916) was referring to the same idea as experience and how it can affect our thinking, as discussed in Section 7 above.

Peirce’s and Maccia’s notion of experience is less restrictive than Dewey’s, since events can happen that are not our doing—e.g., a strong gust of wind blows sand in our eyes. Nonetheless, it is the experience, the brute fact, that which compels us “to think otherwise than we have been thinking.”

In another report, Maccia (1987) further explicates knowing that one:

Recognition will be described as selection through marking the non-comparable features of a thing. Exemplification of recognition will be drawn from studies in perception and pattern recognition. Acquaintance will be described as mapping unique relations connecting components of an entity. I shall draw from studies in forensic art and topography. Appreciation will be described as a discernment of the fittingness of unique relations connecting constituents of an entity. In exemplifying appreciation, I shall employ modes of judgment for determining authenticity of objects or events. (pp. 213-214, italics added).

Acquaintive knowing that one

Experience, if it is to be grounded, requires immediate perception of the object. Returning to Figure 3, if you the reader have never been physically inside or near Ted’s screened porch, then your experience lacks grounding. You may observe the symbolic signs of the unique persons represented in Figure 3 (i.e., their names: Theodora and Miguel), but you would not know that one Q2 or Q3. Unless you had met them before, you would pass right by Theodora or Miguel on the street without recognizing either of them. Moreover, you would not be acquainted with them. You would not know that one Miguel is a software engineer and appreciates listening to live performances of the Chicago
Symphony Orchestra. You would not know that one Theodora enjoys Cypriot and modern dancing, and is a talented graphic artist.

Acquaintive knowing that one requires more than recognition. Acquaintance requires identification of relationships that determine the uniqueness of \( Q \) — relationships that set \( Q \) apart from all else, what makes it unique. For example, if you were acquainted with the unique screened porch represented in Figure 3 and also depicted in photographs at [https://www.indiana.edu/~tedfrick/screenporch/](https://www.indiana.edu/~tedfrick/screenporch/), you might notice the particular “picture window” pattern of sections, and that it was built inside and under an existing steel awning with steel supports embellished with a leaf pattern. You might notice the wind chime hangs in the center section on the west side, but you would not know it was a gift from friends who brought it back from a trip to South America and consisted of slices of a particular rock crystal from Uruguay. You might not have noticed in one of the photos the tall tulip tree behind the vegetable garden to the west silhouetted in the sunset.

Nonetheless, this writer was acquainted with that one screened porch, and its immediate surroundings.

Were you to visit this location after July, 2011, you would notice the screened porch now there is not that-one-screened-porch-built-by-Ted-in-1993. The original screened porch was destroyed by a huge tree limb felled during a tornado in May, 2011. If you were acquainted with both, you would notice that, while the new screen porch retains a similar “picture window” design, it now has an overhanging gabled roof with shingles and gutters, different structural posts and screen doors, and an added electric fan mounted to a wooden ceiling.

Appreciative knowing that one

Appreciation requires more than recognition and acquaintance. Appreciation requires qualitative judgment as did a colleague when initially sitting inside the screened porch. He had a spontaneous “aha moment” when looking out: “Now I get it! You designed it [the screen porch] this way so we can see the backyard and garden better.” His acknowledgment indicated his appreciation of the “picture window” design element of this particular screened porch. See Figure 2.

Appreciative knowing that one means mental structures to identify relationships which are appropriate of \( Q \)—a valuation of what is special and fitting about \( Q \). When a connoisseur identifies the special qualities of a particular wine after smelling its bouquet and tasting it, this is a further example. She might indicate this by saying, “Ah, this is a superb wine!” This would be a sign of appreciation of that one wine vintage.

Another example of appreciation occurred during a usability test of a particular software product by a person who said, “This is awful! Do you expect students to use this?” Appreciation does not have to be positive. Clearly, from the frustrating experience of trying to use that product, this parent of a college student was literally disgusted with the poor quality of the product her son would have to use in school.

In summary, knowing that one requires right opinion of the unique object. To have right opinion requires at minimum recognition of that unique object. Recognition, in turn, is necessary for acquaintance; and acquaintance is necessary for appreciation. When the experience of the object is immediate, then such knowing that one is literally grounded.
The relation between the sign and the object represented by the sign is clearly evident to the person who knows that one.

**Knowing How**

In considering knowing how, it is important to note here that no distinction is being made between mind and body. Knowing how is a kind of cognition as is knowing that one and knowing that. Knowing how consists of mental structures for effective conduct.

As with the other kinds of cognition, we cannot observe a mental structure for knowing how directly, but we must infer it by observing the person carry out successfully some task which requires the knowing how to do so. Thus, we must look for indicators or signs of such knowing how.

For example, we cannot tell if Miguel has the capability to write software in Java by somehow peering into his mind. We could ask him if he has this capability, and his response would be an indicator. We could design a task for him to do in Java, and then observe how well he does it. Or we might use other indicators, such as examining Java source code he wrote on the job as a software engineer.

**Protocols knowing how**

For protocols knowing how, a person follows one path to reach the goal, by duplicating or reproducing the way in which someone else has done it. Protocols knowing how is inflexible. A person’s capability to follow a recipe in cook book to prepare food is an example of protocols knowing how. Another example would be to carry out data analysis by mimicking the step-by-step procedure listed in a statistics textbook, such as performing an analysis of variance (ANOVA).

Estep (2006) refers to this kind of knowing how as rule-governed (see p. 226 and 263) in which single-pathed doings are contrasted with rule-bound ones which are multi-pathed. Maccia (1988) referred to the former as protocols knowing how and the latter as conventional.

**Adaptive knowing how**

In adaptive knowing how, a person can achieve a goal through alternative existing paths, not just one path as in protocols knowing how. Because there are multiple paths, and more than one way to accomplish the goal, this is flexible knowing how. Moreover, one chooses paths based on the specific conditions encountered when the person does evidence it through performance. Thus, such knowing how is adaptive. Estep (2006) referred to this kind of knowing as rule-bound, and Maccia (1988) called it conventional knowing how.

From a learning sciences perspective, an important criterion for assessing achievement of adaptive knowing how is referred to as transfer of learning. That is, if one has achieved adaptive knowing how, then she or he can transfer the knowing how to new situations and perform successfully. When this kind of knowing how is done at a very high level of complexity, it is exemplified by what surgeons, airline pilots and chess players do as experts. They are able to adapt their doing, according to the specifics of a given situation. They are very good at reaching their goal across a wide range of conditions...
because they are flexible. Their knowing how is highly adaptive. It is clearly more than imitation of someone else’s doing. They may take a specific combination of pathways that no one else has ever done before. Such capability is not merely a reproduction of a fixed way of doing something. Protocolic knowing is necessary for adaptive knowing. But adaptive knowing how is more in that it is evidenced by multiple paths to a goal, and different paths are chosen based on specific conditions.

Creative knowing how

Creative knowing how is evidenced by innovating or inventing a new way of doing—a new way to reach the same goal, or even a new goal itself—breaking new ground, so to speak. In medical surgery, for example, a new way of repairing injuries to knee joints via use of an arthroscopic device was developed. At one point, such a surgical method did not exist. Instead of making a large incision to get to the location of the injury, a small incision is made into which such a device is threaded. In contrast to open surgery, it is a remote method of performing the operation, which does less damage to surrounding tissue and results in shorter patient recovery time. The individuals who first invented arthroscopic knee surgery evidenced creative knowing how. Surgeons who have since learned how to perform arthroscopic knee surgery evidence adaptive knowing how.

As different example, the Wright brothers invented a new way for manned flight. Instead of trying to make a machine that flapped its wings in imitation of how birds fly, they employed the idea of propelling a plane through the air that had stationary airfoils as wings.

Clearly, creative knowing how is not protocolic and it is more than adapting existing ways of doing. While the examples above are well-known because of subsequent widespread adoption, such adoption or success is not a requirement for creative knowing how. The products of creative knowing how can help advance of civilization and culture, although they could threaten human life, such as the inventions of atomic bombs and other weapons of mass destruction.

Creative knowing how is also evidenced by devising a new end or goal. It is not reproducing something that already exists, but what results is something new that did not exist before. When Einstein developed the special theory of relativity, this was a new theory. When the Diffusion Simulation Game was originally designed as a multi-player board game, it was a new way of learning about Rogers theory of diffusion of innovations (Molenda & Rice, 1979). The invention of the first spreadsheet program, VisiCalc, by Dan Bricklin and Bob Frankston is a further example of creative knowing how. The theory of relativity, the Diffusion Simulation Game, and VisiCalc were new when they were first created—they did not exist before.

Creative knowing how is not restricted to invention of new methods or things—it can also result in new theories and new knowledge. Nor is creative knowing how restricted to the fine arts—such as making a new sculpture or new music composition. Practical arts can be creative, such as design of new structures in architecture, or new machines such as the iPad or the Airbus A380.

Once a new way of doing has been created, then afterwards when others follow the new way, or they reproduce the same goal, for these others it would be protocolic knowing
They could be taught the new method. For example, many surgeons learned the new arthroscopic method after it was initially invented and demonstrated to be practical and safe. It then became one more technique in their surgical repertoire. As another example, the invention of symbol systems (human languages) is also evidence of creative knowing how. At one time writing itself was a new way to signify experience. Once this new method of communicating was invented, others could then be taught to write using those symbols.

One might wonder what mental structures for creative knowing how might be or whether such structures are possible. TIE theory (Frick, 2018) posits that this is a kind of knowing how that requires both protocolic and adaptive knowing how, and yet creative knowing how is something more. Imagination, experimentation, and discovery learning are important when a new means or a new end is purposefully created. To list a few well-known cases: Thomas Edison invented the light bulb, the Wright brothers the airplane, Henry Ford the assembly line for mass production, Charles Babbage the first programmable computer, etc. Inventions can also be a result of accidental learning, such as Goodyear’s accidental discovery that heating rubber combined with sulfur resulted in its vulcanization. Fleming’s observation of the bacterial growth-inhibiting property of penicillium mold in a petri dish later led to inventions of penicillin and other antibiotics for medical treatment of bacterial infections. Knowing that one and knowing that often also accompany creative knowing how; and such integration of knowing is indicative of intelligence (see Estep, 2003; 2006; Frick, 2018).

**Knowing That**

Knowing that involves cognition of similarity or commonality among objects or things. Such discrimination of commonality (i.e., similar vs. not-similar) was important from an evolutionary perspective. Survival often depended on making such discriminations—e.g., those who learned to comprehend the pattern of hurt or die from falling from a high place survived longer than those who ignorantly stepped off cliffs or jumped from trees. Early humans may not have had the same concepts of mass, velocity and acceleration that Newton later formalized into his laws of gravity, but survival favored those who could predict the consequences of falling from high places, and who avoided such falling. Survival also favored those who could further discriminate kinds of plants or fruits that were poisonous and avoided eating them. These are generalizations or knowing that as a ‘kind of’—i.e., it is classificatory.

The relatively modern game of Charades exemplifies the challenge of signing knowing that without symbols—without being able to use words—before symbolic human languages were invented. Knowing that one and knowing how can be achieved without use of symbols. Indeed, other living beings can come to knowing that one and knowing how without using symbols. A dog or cat can recognize its owner and the place where they live (knowing that one). These animals exhibit knowing how, such as being able to find their way home. When a dog barks at the approach of an unrecognized stranger, that is an indexical sign. Some dogs and cats can signal intent to leave a residence by pawing at a door to the outside.
Instantial knowing that

When we have an idea (concept) that is associated with more than one unique object of the same kind, then we are instantiating. For example, consider the notion of female. This idea can be used to classify individuals who fit the kind that is being signified. Instantial knowing that requires discrimination and classification, which is a sorting of things into one kind or another according to common properties or characteristics. We make the classifications that Theodora is a female, while Miguel is not. We logically distinguish that-kind and not-that-kind when we classify instances.

One the other hand, if one can recognize Theodora, clearly being able to separate her from all else (as none-other, who is unique), this is knowing that one. Yet at the same time we can state a fact about her, which is instantial knowing that: Theodora is female. When we state such a fact and it is warranted, then this is a sign of knowing that about an instance of a woman, Theodora. While each instance can be considered as a unique (that one), identification of a commonality among instances (such as the same gender of human female) is instantial knowing that.

Peirce (1932) referred to symbolic signs that are used to represent classifications (in contrast to indexes and icons). Symbolic signs are legisigns (e.g., female, screened porch), which are used to represent classes of objects. Legisigns may differ according to language, such as Spanish, Greek or Macedonian. Nonetheless, the same concept can be symbolized as a class for which objects of the same kind can be classified, and the cultural legisign is used to represent the class.

When we classify objects as instances, we no longer are treating each as unique. We often use the article, ‘a’, not the article, ‘the’, in English, when we signify knowing that. When we refer to the screened-porch-built-by-Ted-in-1993 represented by the photo (indexical sign) in Figure 3, we use the article, ‘the’, to indicate the unique or particular, just as we would indicate the person whose name is Theodora. Whereas, when we refer to a screened porch, then we are treating the object as an instance of a class of objects of the same kind.

Note that classifying phenomena as part of educology or not is instantial knowing that. Screened porches are not part of educology per se. Screened porches do not belong to the class of signs of knowing about learning that is both intended and guided. On the other hand, the report on the Indiana University Plagiarism Tutorials and Tests (Frick & Dagli, 2016) is part of educology—in particular, qualitative educology. See kinds of educology at: http://educology.indiana.edu/typesOfEducology.html.

Relational knowing that

For relational knowing that, more than instantial knowing that is required. One must know a kind of relationship between two or more classes of objects. Consider the screened porch example once again in Figure 3. Theory about visible light was relevant to the window design within each panel. Some kinds of objects will allow visible light to pass through and others will not. Light will be blocked from passing through solid wood, but it does pass through fine, aluminum screen mesh. The fine screen mesh will allow light, wind, rain and snow to mostly pass through this filter, whereas it will prevent mosquitoes and other larger things from entering. These theoretical relationships were considered in the
structural design of the window. Several concepts have been mentioned, including: visible light, window, aluminum screen, solid, wood, filter, mosquitoes, passing through, wind, rain and snow. Each of these is a class which can be instantiated by many objects that can be sorted into these classes.

But there are relationships between these kinds of objects. For example, wind (moving air) passes through aluminum screen. Here the kind of relationship is passes through and one kind of object is wind and the other kind is aluminum screen.

Furthermore, there can be classes of classes. Aluminum screen is one kind of filter. Plexiglas is another type of filter. Filter is the superclass. In fact, superordinate is itself a type of relationship.

Generalizable relationships constitute the content of science, praxiology and philosophy (Steiner, 1988). Scientific signs of knowing that are important for explaining and predicting phenomena, such as Einstein’s famous equation that symbolizes the relationship between matter, energy and light (\(E = mc^2\)). Praxiological signs of knowing that symbolizes relationships between means and ends, which are instrumentally good, such as the process of tempering steel in order to strengthen it. Philosophical signs of knowing that symbolizes general relationships which are intrinsically good, such as the ethical principle that human beings ought to treat each other with benevolence and justice.

Assuming that it is empirically verified through disciplined inquiry, the theory of totally integrated education (TIE) would become an example of scientific quantitative educology. See http://educology.indiana.edu/quantitativeEducology.html. In educology, a theory is defined as ‘intersubjective signs of universals about essential properties and their relations, yet to be warranted by disciplined inquiry’. See http://educology.indiana.edu/theory.html.

Criterial knowing that

Criterial knowing that requires instantial and relational knowing that but also involves a norm so that judgment (evaluation) of such concepts and relations is possible. Meta-theoretical is another term that could be used for criterial knowing that, where meta-means ‘beyond’ or ‘transcends’. The judgment requires a standard that transcends the theory itself and its terms.

For example, logical truth is a standard or criterion. Suppose there are two assertions: Theodora is a female. and Theodora is a male." If the categories of gender (male, female) are mutually exclusive, then both of the assertions cannot be rationally held at the same time without violating the notion of logical truth (\(P\) and not-\(P\) is logically false—i.e., either \(P\) is true or not-\(P\) is true, but not both in sentential calculus).

Consider the proposition from the screened-porch example: “Wind passes through aluminum screen mesh.” This is a different kind of proposition in that it is not a fact about an individual object but instead about all objects in the classes involved—anywhere, anytime, anyplace. Even if it is the case that wind passes through the screen on the porch that Ted built, this does not mean that this relationship will hold for all instances of wind and all instances of aluminum screen mesh.

What kinds of evidence would be needed to warrant the assertion about the general relationship between wind and aluminum screen? What criteria are needed to make such a
judgment? For example, if the criterion is ‘empirically holds without exception’ and just one counter-example is discovered to exist, then the assertion is not warranted according to that criterion. In various scholarly disciplines (e.g., chemistry, physics, biology, anthropology, philosophy, etc.), there is considerable discussion about research methods and criteria that are appropriate for warranting claims. Such a discussion about research methodologies in disciplined inquiry is beyond the scope of this report.

15. Appendix C: Lose Weight? Or Decrease Fat Mass?

The following example from biochemistry illustrates the importance of precise terminology to describe what we are talking about, and how the chemical factories in our body operate so we have energy to stay alive and to move around. As it is, this is a somewhat oversimplified explanation, but is nonetheless consistent with biochemistry in our bodies and how our hormones and enzymes regulate metabolism (McKinley, OLoughlin, & Bidle, 2016).

According to estimates by the National Center for Health Statistics (2015), about 1 out of every 3 Americans 20 years or older is obese, and 2 out of 3 are overweight (Taubes, 2016). This problem is significant because people who are obese are more likely to develop Type 2 diabetes, and subsequently are predicted to be more likely to die from heart disease, stroke and cancer. What can we do about this situation?

First, the problem is not that most Americans are overweight. We could ship them all to the moon, and they would weigh about one-sixth of what they now do on earth. That would work immediately but would not address the underlying problem.

More to the point is that too many individuals have an excessive mass of fat stored in their bodies. The problem is not solved by losing weight, rather the problem is solved by reducing excess mass of fat stored in our bodies. I am using italics here to identify well-defined terms.

So what is human fat? Technically, body fat is stored in adipose cells largely as triglyceride molecules. When we consume carbohydrates, they are broken down into glucose molecules (and others). Our pancreas secretes the insulin hormone in order to lower our blood sugar level (amount of glucose). Some of the glucose is immediately taken in by cells in our bodies for energy via a metabolic process called glycolysis, but a further process called lipogenesis converts extra glucose into fatty acids, and, in the presence of insulin and lipoprotein lipase, those fatty acid molecules are combined with glycerol molecules in a three-to-one ratio to form triglyceride molecules stored as body fat (in adipose tissue cells).

Notice we are now using specific, well-defined terminology in physiology, anatomy, biochemistry and physics to describe the problem. In order to address obesity, we need to reduce the mass of fat stored in adipose tissue. And to be even more specific, we normally do not want to reduce the mass of our skeletal muscle tissue, tendons, ligaments, organs, and bones. We do not want to end up as 98-pound weaklings. And we do not want to get rid of all fat stored in adipose tissue because fatty acids are needed as fuel for creating energy when insufficient glucose is currently available.
So how does our body extract the fat from adipose tissue? This is more complicated, because our bodies have multiple metabolic pathways (different ways our cells create needed energy, depending on conditions). Our bodies first use available glucose in our bloodstream for cells to metabolize for energy through a process called glycolysis. If our glucose level is too low (also low insulin level), then our pancreas secretes glucagon, a hormone which in combination with the enzyme hormone sensitive lipase signals adipose cells through a process called lipolysis to break down triglycerides into free fatty acid and glycerol molecules that are released into the bloodstream. The free fatty acids are then metabolized by our cells to create energy. So the problem is not to consume fewer calories than we expend as energy, as is often misunderstood. The problem is to release more fat than we store. Therefore, solutions should address metabolic pathways that lead to fat storage and to fat release for use as energy.

One effective method is to stop eating. The starvation method works—note the appearance of people who are starving to death, literally ending up looking like “skin and bones” (little muscle and adipose tissue remains). But starving is unsustainable as a method when we have plenty of food around us.

A further method is to exercise excessively. But that is impractical, with respect to burning any significant amount of fat stored in adipose cells. There are much more effective methods. Some exercise is good for us, but for other reasons to maintain health.

Unfortunately, the often-recommended method of reducing caloric consumption and increasing exercise does not, in fact, work in the long run for 95 percent of us, and is unsustainable (Eades & Eades, 1996; Bailor, 2015). Furthermore, people who try this method often end up with a more fat mass and less lean body mass though homeostasis. When done repeatedly (a “yo-yo” dieting pattern), this in effect exacerbates the fat mass problem (e.g., see Taubes, 2011; Bailor, 2015).

What is needed is a solution that stimulates our bodies to extract fatty acids from adipose cells and burn them for needed energy. And to do this at a rate that is greater than the rate of storing fat in adipose tissue. Over time, this will reduce the mass of fat. Understanding of metabolic processes in the human body can help us identify strategies that can safely reduce the mass of fat stored in adipose tissue without losing muscle mass or eventually starving to death.

Dietary approaches that are effective typically recommend eating proportionally more proteins along with a sufficient amount of healthy fats and eating proportionally much less carbohydrates (that are converted to glucose and stimulate insulin secretion by the pancreas). When our pancreas spends more time secreting glucagon than it does insulin, our bodies will extract fatty acids stored in adipose cells for energy at a greater rate than it stores fatty acids as triglycerides. See Eades and Eades (1996, pp. 34-37). It is a problem of the rate of feedin of fatty acids and glycerol to adipose tissue cells when contrasted with the rate of feedout. Feedin and feedout are system properties (e.g., see Thompson, 2008; Maccia & Maccia, 1966). The daily quantity of total calories we consume is less significant than quality of macro-nutrients we proportionally consume, the rate of consumption of those macronutrients during the day, and the energy demands of muscles cells (to move our bodies) throughout the day.
It is a rate problem, not a weight problem, and it is specifically about rates of different metabolic pathways our bodies use to create energy to stay alive and to move about.

While this fat metabolism example is oversimplified (there are additional metabolic pathways not discussed here such as ketosis and gluconeogenesis), it nonetheless illustrates the value of well-defined terminology, so we all know precisely what we are talking about. It further clarifies how we frame a problem and potential solutions to the problem.

16. References


